







# Dealing With Being Sued in Gastroenterology



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#### Disclaimer



"Your Honor, my client pleads guilty to having been mislead by his advisors...."

This lecture is for educational purposes only, anddoes not constitute specific legal advice.



#### Overview

- 1. Preamble
- 2. Emotional Impact
- 3. Actions to Take-

contact risk management; get the best medical help for complication care, don't avoid family ( but consider if protection occasionally needed), apology laws

- 4 Actions to Avoidarrogance, changing charts, speaking with peers about the case outside peer review
- 5. The process

working with your attorney- if synchronous goals Request- deposition training, explanations, alternatives Provide medical information, even expert witness referral Nuances- Cumis counsel

6. Self/Family Care Peer Support Programs

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#### Preamble:

- UW GI Grand Rounds
- After the lecture, a compliment on the content, and a question that has stuck with me:
  - Do you have to be so scary?



## Do You Have To Be So Scary?

#### Reassuring Aspects

- Big institutions often have protections, deep pockets, and you may not even be named!
- Providers prevail 80%, roughly (old data)
- Many docs, including me, have never been sued during long active careers
- Knowledge may help avoid some situations
- Bad luck happens through no fault of your own

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## Do You Have To Be So Scary?

#### • Not reassuring aspect:

- Unfamiliar territory:
  - the world of law is also a professional environment, but very different from the medical world in which we live, with often strange language and rules
- Nature of the subject
  - Imagine a lecture on malignant ascites/peritoneal studding; important, but not joyful



Med Student Query: We have insurance, why should we care if sued?

Since 80% of medical malpractice lawsuits settle, and almost all doctors have malpractice insurance, why do we need to concern ourselves about preventing lawsuits?

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## Implications of a Lawsuit

- Reporting
  - State Medical Commissions (MQAC)
    - · Could limit your license
    - Public Searchable
  - National Practitioner Databank
- Credentialing/Hiring
  - Repeated explanations
- Financial
  - Settle within policy limits?
- Personal
  - Years of stress, unfamiliar surroundings depositions, increased rate divorce



# Tort of Negligence

- Duty
- Breach
  - · Standard of Care
    - Expert Witness Testimony
    - Guidelines
  - Informed Consent
- Causation
- Harm

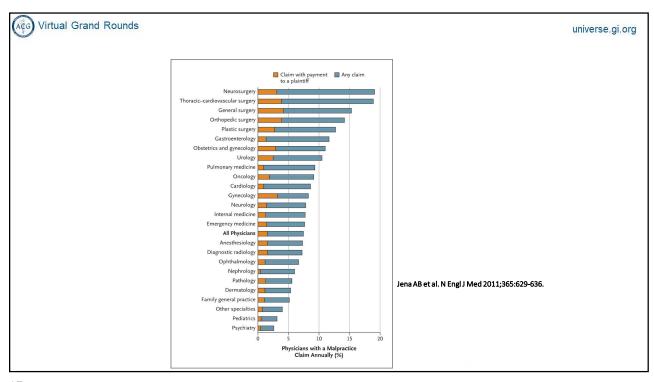
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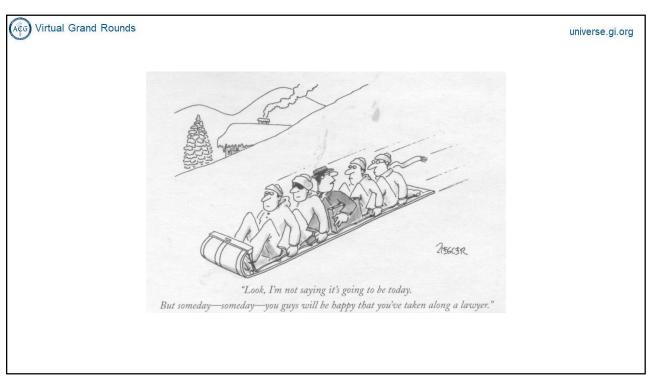


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# Other Ways Trouble Comes at You

- State Medical Commissions
  - Get legal representation!
- National Databank
- Institutional Actions
  - Performance Improvement Plans
- Credentialing
- Digital Age Issues
  - Trashed on the web
- Business Issues (ex. non compete)







## **Emotional Impact**

- 1. Entering a Different World
- 2. Duration of Lawsuit (years .. of Stress)
- 3. Viewing patients more defensively?
- 4. Institutional stress Explaining to your chiefs
- 5. Family Stress
- 6. Can't discuss with anyone/colleagues
  Can discuss w spouse, legal team, and in peer review
- 7. Peer Counseling

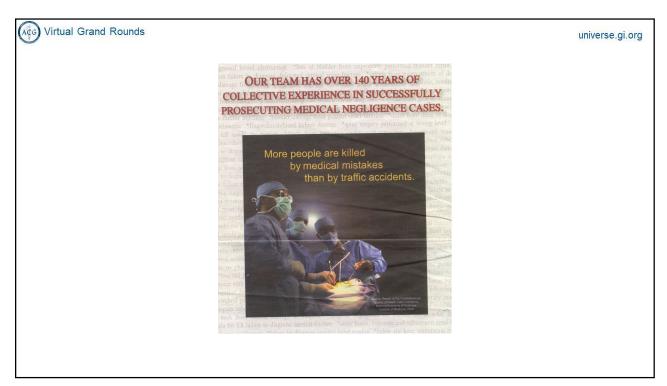
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#### Different Worlds

- The Language of Medicine
  - Epidemiology
  - Scientific method
  - Clinical Guidelines
  - Grand Rounds
- The Language of Law
  - Statutes
  - Regulations
  - Standard of Care
  - Expert Testimony, Depositions





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#### Actions to Take

- Contact Risk Management
- Don't speak with colleagues, despite the temptation; they can be deposed
- Your attorney works for you- give all the information, especially the bad, so the attorney can prepare to answer the difficult questions
- Help them find the best experts, and even the best literature
- Does your institution have a Communication and Resolution program? (can include removing bills, compensation)( Michelle M Mello JD)



#### **Actions To Avoid**

- 1. Arrogance
  - Plaintiff attorney sizing you up during deposition re settle or trial
- 2. Speak w colleagues about the case outside peer review
  - You will be asked, your colleagues could be deposed
- 3. Deposition Missteps
  - "Teaching" and "explaining" so the suit will go away
  - Just yes/no and as limited as can honestly answer
  - · Underestimating the opposing counsels knowledge of medicine
  - Being lead down the garden path (couch answers with it depends where possible)
  - · Not practicing with your attorney ahead of deposition

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## Programmatic Approach to Medical Mistakes

(that may avoid a lawsuit)

- Disclosing Mistakes: Integrity, Trust, Learn from Mistake, patient fears.
- · Involve Others in Disclosure
- VA Study , Michigan Program and others re Decreased Liability Risk
- · Apology Laws
- Bernard Lo Resolving Ethical Dilemma's chap 36
- Kohn "To Err is Human:Building a Safer Health System" Nat Acad Press 2000
- Studdert et al NEJM 2008
- Mello MM Health Affairs 2018



### Summary

- Lawsuits Happen
  - · Even to excellent physician
  - Often not really your fault, just a bad outcome can trigger a lawsuit
  - Or financial need of plaintiff to meet medical expenses
  - But, even if your fault, "To Err is Human"
- Lawsuits do end (eventually)
- Your family and career continue- keep perspective and take care of them

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### Lawsuits Happen

- Don't Beat Yourself Up
- Don't Neglect Post Complication Care
- Settlement question "within policy limits"
- Expect a long drawn out process, during which opposing counsel will trash you
- Doctors win about 80%



### Surviving a Lawsuit

- Stressors
- Handling Depositions
- Keeping Confidential/Peer Review
- Working with your Attorney
  - Expert Witness
  - Medical Knowledge
  - · Don't withhold the bad
- Programmatic Approach to Disclosure and Compensation
- Sequela

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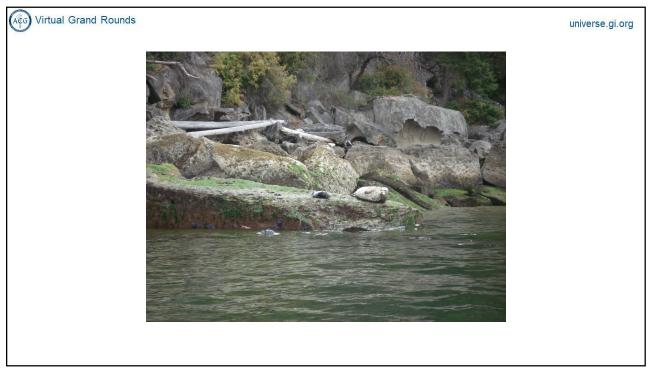


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# My (old) reference from red section AJGwill be available

- RISK MANAGEMENT
- · Most Doctors Win
- · What to Do If Sued for Medical Malpractice
- Feld, Andrew D. MD, JD1; Moses, Richard E. DO, JD2
- American Journal of Gastroenterology 104(6):p 1346-1351, June 2009.
- Abstract
- All gastroenterologists are at risk of being accused of medical malpractice; few have received much training about what to do should a lawsuit occur. This article details what one can expect in a typical medical malpractice negligence claim and reviews basic relevant legal terminology. The timeline of a lawsuit is described, particularly noting the physician's role in discovery and trial. Cautions and suggestions for successful navigation of this unfamiliar and uncomfortable world are dispensed.







#### References

#### Most doctors win: what to do if sued for medical malpractice

Andrew D Feld 1, Richard E Moses

Am J Gastroenterol. 2009 Jun;104(6):1346-51, PMID: 19491847,

DOI: 10.1038/ajg.2009.215

#### **Abstract**

All gastroenterologists are at risk of being accused of medical malpractice; few have received much training about what to do should a lawsuit occur. This article details what one can expect in a typical medical malpractice negligence claim and reviews basic relevant legal terminology. The timeline of a lawsuit is described, particularly noting the physician's role in discovery and trial. Cautions and suggestions for successful navigation of this unfamiliar and uncomfortable world are dispensed.

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#### Questions?



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